

10/561760

# Knobbe Martens Olson & Bear LLP

Intellectual Property Law

2040 Main Street  
Fourteenth Floor  
Irvine, CA 92614  
Tel 949-760-0404  
Fax 949-760-9502  
[www.knob.com](http://www.knob.com)

IAP9 Rec'd PCT/PTO 21 DEC 2005

Daniel E. Altman

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

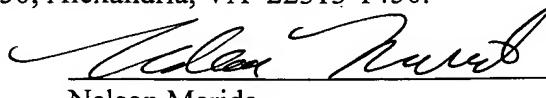
## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

**Attorney Docket No.** : SHIGA7.039APC  
**Applicant(s)** : Hiroshi Shimbori  
**For** : RESIN FOR POSITIVE RESIST  
COMPOSITION, AND POSITIVE RESIST  
COMPOSITION USING THE SAME,  
LAMINATE AND METHOD FOR FORMING  
RESIST PATTERN  
**Attorney** : Daniel E. Altman  
**"Express Mail" Label No. :** EV718232945US  
**Date of Deposit** : December 21, 2005

I hereby certify that the accompanying

Transmittal letter; Preliminary Amendment in 10 pages; English translation of specification in 38 pages; International Search Report and Written Opinion; cover page of PCT publication; PCT/IB/308; Declaration and Power of Attorney in 2 pages; Recordation Form Cover Sheet and Assignment in 3 pages; Information Disclosure Statement, PTO Form PTO/SB/08 Equivalent with 12 references; Check(s) for Filing Fee(s); Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Nelson Merida

2226322:vr122005

San Diego  
619-235-8550

San Francisco  
415-954-4114

Los Angeles  
310-551-3450

Riverside  
951-781-9231

San Luis Obispo  
805-547-5580

<p><b>NATIONAL PHASE UTILITY APPLICATION</b> <b>Transmittal to the (DO/EO/US)</b> <b>Under 35 USC 371</b></p>	<p>Attorney Docket No.: SHIGA7.039APC First Named Inventor: Hiroshi Shimbori Int'l Application No.: PCT/JP2004/009875 Int'l Filing Date: July 5, 2004 Priority Date Claimed: July 8, 2003 Title: RESIN FOR POSITIVE RESIST COMPOSITION, AND POSITIVE RESIST COMPOSITION USING THE SAME, LAMINATE AND METHOD FOR FORMING RESIST PATTERN Express Mail Label No.: EV718232945US</p>
<p><b>Direct all correspondence to Customer No.: 20995</b></p>	<p>Date: December 21, 2005 Page 1 of 3</p>

Mail Stop PCT  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

1. Hiroshi Shimbori

**APPLICATION ELEMENTS:**

(X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.  
(X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated by the International Bureau.  
    (X) PCT/IB/308 enclosed.  
(X) An English translation of the International Application as filed is attached hereto.  
(X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.  
(X) An Oath or Declaration signed by the inventors (35 U.S.C. § 371(c)(4)).

**OTHER APPLICATION PARTS:**

(X) Preliminary Amendment in 10 pages.  
(X) Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment).  
(X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:  
    (X) Listing 17 references.  
    (X) Enclosing 12 references.  
(X) Cover page of PCT publication WO 2005/003196 A1.  
(X) International Search Report (PCT/ISA/210)

10/561760  
IAP9 Rec'd PCT/PTO 21 DEC 2005

<p><b>NATIONAL PHASE UTILITY APPLICATION</b> <b>Transmittal to the (DO/EO/US)</b> <b>Under 35 USC 371</b></p>	<p>Attorney Docket No.: SHIGA7.039APC First Named Inventor: Hiroshi Shimbori Int'l Application No.: PCT/JP2004/009875 Int'l Filing Date: July 5, 2004 Priority Date Claimed: July 8, 2003 Title: RESIN FOR POSITIVE RESIST COMPOSITION, AND POSITIVE RESIST COMPOSITION USING THE SAME, LAMINATE AND METHOD FOR FORMING RESIST PATTERN Express Mail Label No.: EV718232945US</p>
<p><b>Direct all correspondence to Customer No.: 20995</b></p>	
<p>Date: December 21, 2005 Page 2 of 3</p>	

(X) Written Opinion (PCT/ISA/237)  
(X) Return prepaid postcard.

**FILING FEES:**

FEE CALCULATION				
FEE TYPE & DETERMINATION		LARGE FEE	CALCULATION	TOTAL
Basic Filing Fee under 1.492(a)		1631 (\$300)		\$300
Search Fee under 1.492(b) (enter one in TOTAL column)				
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		1640 (\$0)		
(2) USPTO was the ISA (noncompliant claims)		1641 (\$100)		\$400
(3) Foreign Search Report Enclosed		1642 (\$400)		
(4) No Search Report Enclosed		1632 (\$500)		
Examination Fee under 1.492(c) (enter one in TOTAL column)				
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		1643 (\$0)		\$200
(2) Non US IPEA or Noncompliant Claims		1633 (\$200)		
Excess Claims	22 - 20 = 2	1615 (\$50)	2 x 50 =	\$100
Excess Ind. Claims	2 - 3 = 0	1614 (\$200)	0 x 200 =	\$0
Multiple Dep. Claim		1616 (\$360)		\$0
Application Size Fee	0 - 100 = 0	1681 (\$125)	0 x 250 =	\$0
Late English Translation		1618 (\$130)		
Assignment		8021 (\$40)	1 x 40 =	\$40
			<b>TOTAL FEE DUE</b>	<b>\$1,040</b>
<b>TOTAL FEE PAID (If Different Than Fee Due)</b>				\$

(X) A check in the amount of **\$1,040** to cover the Total Fee Due is enclosed.

IAP9 Rec'd PCT/PTO 21 DEC 2005

<p><b>NATIONAL PHASE UTILITY APPLICATION</b> <b>Transmittal to the (DO/EO/US)</b> <b>Under 35 USC 371</b></p>	<p>Attorney Docket No.: SHIGA7.039APC First Named Inventor: Hiroshi Shimbori Int'l Application No.: PCT/JP2004/009875 Int'l Filing Date: July 5, 2004 Priority Date Claimed: July 8, 2003 Title: RESIN FOR POSITIVE RESIST COMPOSITION, AND POSITIVE RESIST COMPOSITION USING THE SAME, LAMINATE AND METHOD FOR FORMING RESIST PATTERN Express Mail Label No.: EV718232945US</p>
<p><b>Direct all correspondence to Customer No.: 20995</b></p>	
<p>Date: December 21, 2005 Page 3 of 3</p>	

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.



Daniel E. Altman  
Registration No. 34,115  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

2222881:vr  
121905